



Attorney Docket No. A-10041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

First Inventor: Ivo V. IVANOV

Group Art Unit: 3628

Appln. No.: 10/777,093

Examiner:

For: DYNAMIC REBALANCING OF
ASSETS IN AN INVESTMENT
PORTFOLIO

Confirmation No.: 4549

* * *

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified patent application as indicated below.

Amendments to the Drawings begin on page 2 of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

Remarks begin on page 3 of this paper.

An **Appendix** including amended drawing figures is attached following page 3 of this paper.